# A TRAFFIC DENSITY WITH REAL-TIME VEHICLE LICENSE PLATE DETECTION AND RECOGNITION

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Abstract-In this paper we present a instant and real-time mobile vehicle license plate recognition system in an open environment. Using a nonfixed video camera installed in the car, the system tries to capture the image of the car in front and to process instant vehicle license plate detection and recognition. We utilize the color characteristics of the barking lights to carry out license plate detection. We first detect the location of the two barking lights in the captured image. Then set license plate detection region using the probability distribution of the license plate between the two lights. This method can eliminate any environmental interference during the license plate detection and improve the rate of accuracy of license plate detection and recognition. Moreover, we use the morphology method Black Top-Hat to enhance the level of separation of the license plate characters. Experiments show that the system can effectively and quickly capture the vehicle image, detect and recognize the license plate whether it is in daytime, nighttime, clear day, raining day or under complicated environment.

Key Words: Real-Time, Wavelet, License Plate, Black Top-Hat

# 1. Introduction

As the number of automobiles grows rapidly, the traffic problems increase as well, for example, car theft, speeding, and running the red light, etc. Due to the above mentionable traffic control problem, vehicle tracking, recognition and management has become major topics of modern traffic control system.

The current vehicle recognition system includes tech- nique of radio frequency identification (RFID), infrared, microwave and image recognition. The first three tech- niques require the installation of transponders on the ve- hicle. But, in high speed driving, the accuracy rate of de- tection and recognition is low with the system using tran- sponder. Usually the system uses a monitoring system to enhance the accuracy of the system. Meanwhile, there are still many unsolved problems. For instance, the tran-

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sponder could be pirated, and the user's privacy could be violated.

In recent years, the vehicle monitoring and manage- ment system based on vehicle license plate recognition has matured. Many big parking lots and major street are using the Charge-coupled device (CCD) to carry on the vehicle monitoring and management. This system adapts the image recognition technique. In particular, it focuses on the search of cars suspected of robbery, theft, etc.

Currently most of the vehicle license plate recogni- tion (VLPR) system already developed or proposed in academic field has been focused on motionless vehicles [1 10]. There has also been some instant VLPR installed on the road [11,12]. The above mentioned applications of VLPR are still confined to gate control management. Rarely has the problem of car theft arrest on the road been discussed.

The problem rests on that the fixed roadside recognition system having problem with distance and car speed.

Hence it cannot effetely filter out the problematic cars on the road. On the search of suspectable vehicles, the law enforcement uses video camera installed on major roads or patrol cars. This method requires the police officers to visualize the license plate (LP) and then input the LP information into the system for inquiry. This is a very ineffi- cient method. If the VLPR system can be installed on tax- ies, buses or police cars, then it can quickly identify stolen cars by instantly detecting and recognizing the car in front, linking the data to the stolen car database, sounding alarm and informing the authority. It will not only increase the efficiency of search for suspectable vehicle but also in- crease the safety of law enforce officers. But there have been few systems capable of being installed on the car. Thus this paper proposes a VLPR system capable of im- plement on the car adaptable to various environments.

In order to install it on the onboard micro computers, our system adapts the feature of simple computation. We use the wavelet transformation [1,2] which requires very little computation to lower the dimension of the image and acquire the characteristics of high frequency. After wavelet transformation, based on the relationship between frequency and location, we can locate the LP through statistics on wavelet high frequency coefficients.

After accurately positioning the LP as a subimage, we filter out the noise and eliminate the shadow variation from the subimage to get a character positioning with higher separation. The multilayer neural network is used to achieve character recognition. We realize instant calculation through three procedures: automatic detection and capturing of vehicle image; providing low-intensity calculation; adaptation to complicated background and noise. The first section of this paper is the description of background, motivation and introduction. The second section illustrates the realization method of each component of the system. The third section is the system design. The forth section is experiment result. Finally the fifth section is discussion.

#### 2. Methodologies

We start by an introduction of the the background filtering method, followed by LP detection method. Success of background filtering that can increase the ef- ficiency of the LP detection. Finally we introduce the character segmentation and recognition system. To fa-

cilitate illustration, we use the passenger car as model for our design, but it can be modified to other vehicles. The resolution of the video camera used is set at 320 240 pixels.

#### 2.1 Filtering of Background

On regular roads, various vehicle detection systems are all subject to interference form other objects, thus lose its accuracy and detection of the whole mage will be relatively ineffective. The filtering of the background with the focus of the detection on the vehicle body is the key for improving the accuracy.

In the locking-in and following a targeted vehicle, the picture captured with an on board camera is very complicated. The picture may contain the sky, the buildings, road signs, street lights and none targeted vehicle, and can't use the background filtering method. We use the braking light with its particular color, which is common characteristic of every car, to position targeted vehicle.

Braking light is an active red light source. Its bright- ness and color is not venerable from the interference of other lights. We change the LL image transformed from wavelet into YUV color format. The Y component is used to judge the brightness and should be greater than 150. The V component is used to decide if it reaches the saturation level of light and should be greater than 60. Those values are fine parameters through experimental statistics. The search of lights uses the block of 8 8 pix- els. Blocks overlap by 4 pixels. If there are at least 15 qualified Y-components and at least 12 qualified V-components, it will be an effective region. A few effective regions will be marked as a single object through connected components method.

During detection, we may find other red lights be-sides the target vehicles, e.g. motorcycle, other vehicles or red lighted signboard. But they can be filtered out through the following method. First, the distance be-tween two objects with the same height must be greater than 60 pixels. Next, the top edges of the two objects must be close horizontally, no more than 8 pixels in error. The same must be to the bottom edge.

Because the LP will be too small to be recognized when the distance to the car in front is over 10 meters, the width of a vehicle will be at least 60 pixels. And the 2 rear lights of the same vehicle must be of the same level horizontally. Through matching of the objects, we select

two appropriate ones. Then dissect the picture region be- tween the two tail lights as the picture for LP detection. We consider the possible location of the LP between the two lights (see Figure 1) LP may be located slightly above the lights level or quite below. Through observation, the chosen region of dissected picture is set as:

left boundary: left edge of left red light region.

right boundary: right edge of right light region.

**upper boundary:** Top edge of left and right red lights region -1/4 distance of lights.

**lower boundary:** Top edge of left and right red lights region +3/4 distance of lights.

(distance of lights is the horizontal distance between the center of left and right red lights).

#### 2.2 Vehicle License Plate Detection

The proposed technique of vehicle license plate de-tection as follow: We use wavelet transformation and projection method. The wavelet transformation performs multi-resolution of the signal to acquire the relationship between the frequency and the location. There are two functions in the transformation. They are used to acquire the parameters of the high frequency H and the low fre- quency L. It will produce four combinations in the two dimensional image signals: LL, HL, LH and HH. These four frequency bands represent low-frequency, horizon- tal, vertical and diagonal frequency energy respectively.

We use one level discrete wavelet transformation to extract the high frequency content form the LP image.









**Figure 1.** The distribution of common lights and LP of current passenger cars.

Considering six characters in the LP, there are at least 12 vertical boundary points in the horizontal projection of the LP region. Because the edges in the vertical direction of the license plate are very obvious, we will only use the HL to locate license plate.

A horizontal projection of the frequency band i.e. adding energy values at the same horizontal edge of the frequency band will produce a horizontal frequency total energy distribution graph with the same vertical direction. (see Figure 2a). We wish to find blocks with higher values. The position of the blocks represents the upper and lower edges of the LP as seen in Figure 2b.

A two-stage process is proposed in the approach, the rough location, and the accurate location. The rough location in which candidate regions of license plate are found, and the accurate location where the exact plate region is extracted from candidate regions.

# 2.2.1 Rough Location

#### Step 1. Horizontal projection of HL

As Figure 2a shown, the vertical gradient values of license plate are significant, and texture of the license plate in the HL sub-band is more complicated. The hori- zontal projection of the wavelet coefficients in the HL sub-image is calculated by Eq. 1. Figure 2b shows the horizontal projection of Figure 2a.

$$T_{H}(i) = \sum_{j=1}^{n} g_{v}(i, j)$$
 (1)

Where the size of input image is m x n, i and j are the row and column coordinates of pixels in the input im-

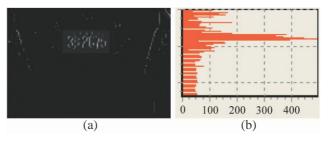


Figure 2. (a) characteristics point inside vehicle body. (HL), (b) The histogram of the horizontal projection for the picture on the left (The vertical coordinates corresponds to the height of the left picture and the horizontal coordinates are the accumulated characteristic values.)

age  $g_V(i,j)$ , 1 <= i <= m and 1 <= j <= n. From Figure 2b, the horizontal position of license plate must be a peak of the projection. We should search the peak to get the possible horizontal position of license plate. However, there are many burrs in the horizontal projection in Figure 2b. In order to get rid of these burrs, we introduce Gauss filter. The Gauss filter is shown in Eq. 2.

$$G(x,\sigma) = e^{-x^2/2\sigma^2}$$
 (2) But in

practice, because the curve is discrete, we often use Eq. 3 to filter the image.

$$T'_{H}(i) = \frac{1}{k} \sum_{j=-w}^{w} T_{H}(i-j)h(j,\sigma)$$
 (3) Where

$$h(j,\sigma) = e^{-(j\sigma)^2/2}$$

$$k = \sum_{j=-w}^{w} h(j,\sigma)$$

In Eq. 3,  $T_H(i)$  represents the original projection value,  $T_H(i)$  shows the filtered projection value, and i changes from 1 to m. w is the width of the smoothness region;  $h(j, \cdot)$  is the Gauss filter and represents the parameter of Gauss filter. In our algorithm after many experiments, we adopt w = 4 and v = 0.05. The result of horizontal projection smoothing by Gauss Filter is shown in Figure 3.

#### Step 2. Candidates extraction

In order to obtain the position of license plate, we make use of two known features of license plate: firstly, the license plate usually lies on the bottom of the image; secondly, the several maximal sets of all peaks denote the possible horizontal position of license plate. If there are still several positions, some more strict inspections will be applied to ensure the horizontal position of li- cense plate. If there are not any candidates in this region, we will adjust the parameters and repeat step 1 to step 2 orderly until we can get some candidates. The result of above algorithm is shown in Figure 4. For extracting the candidate region, we use the proper mask to search the HL sub-image in the initial candidates. The proper size

of the searching mask according to the width of the max- ima set of all peaks. In our experiment, the normal ratio of length and width is 3.

We calculate the value of total pixels for each mask and find the maximum. Then, the corresponding mask with maxima value is the possible license plate region. The result of above algorithm is shown in Figure 5.

#### 2.2.2 Accurate Location

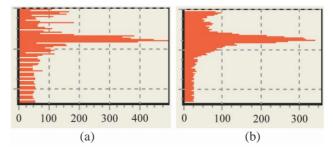
From rough detection, one or more candidates have been taken from HL. We transfer the relative location to HL sub-image and processed it one by one using mathe- matical morphology.

# Step 1. Vertical projection of HL

Get Vertical projection of HL, the results are shown in Figure 6b.

#### Step 2. Process with mathematical morphology

Mathematical morphology [13 15] is a non-linear filter, with the function of restraining noises, to extract features and segment images etc. Its characteristic is that it can decompose complex figure and extract the mean-



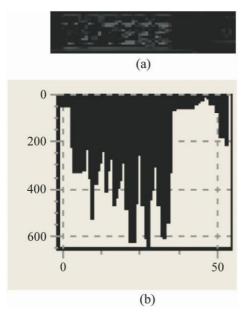
**Figure 3.** (a) The histogram of the horizontal projection for the image, (b) The result of smoothing by Gauss Filter from Figure 3(a).



**Figure 4.** The initial candidates of the input image.



**Figure 5.** The rough location of the input image.



**Figure 6.** (a) The rough location from HL sub-image, (b) The vertical projection of Figure 6(a).

ing figures Mathematical morphology's basic arithmetic are erosion and dilation

Erosion: Aggregate A is eroded by structure B

$$X = A \quad B = \{X: B + X \quad A\} \tag{4}$$

Dilation: Aggregate A is dilated by structure B

$$X = A \quad B = \{X: (B+X) \quad A$$
 \} (5)

The erosion arithmetic and dilation arithmetic could not be resumed. The two operations can conform the open and close arithmetic.

Open: structure B open Aggregate A

$$A B = (A \quad B) \quad B \tag{6}$$

Close: structure B close Aggregate A

$$A \quad B = (A \quad B) \quad B \tag{7}$$

Open arithmetic can eliminate little objects, and se- parate objects at fine places, smooth boundary of big objects. Close arithmetic can fill in little holes of objects, and connect two neighborhoods' objects, smooth boun-dary of objects.

We use close arithmetic to deal with the vertical pro- jection. The maximum space between characters is im- portant feature for designing structure element. The re- sult after close operation is shown in Figure 7.

If the projection value is smaller than the threshold, we consider the column may not be the part of license plate region, and exclude the checking region. Now we can define the new right and left boundary of license plate from rough location. We wipe off the regions with too small or too big width-to-height ratio. Finally the accurate both left side and right side of the license plate are detected. The extracted license plate image is shown in Figure 8.

#### 2.3 Character Recognition

To carry out character recognition, we first calibrate the LP tilt, and then use the projection method to do the character segmentation. Finally, we use back-propaga- tion neural network to recognize each character.

In addition, we add an excellent preprocessing. The LP boundary is usually not obvious due to the unevenness of lights, especially during night or dusk. For example, the top of the LP may be darker while the bottom of the LP brighter. This will affect the efficiency of the segmentation. We tried to use the grey level value around the edge as the threshold for binary process. We also tried to use the median of the LP's shading value as the threshold. But the character separation and integrality are not good. Finally we use the Black top-hat [16,17] technology to eliminate

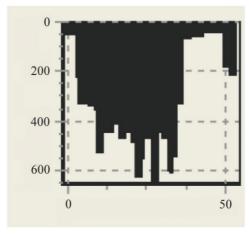


Figure 7. The vertical projection after close operation.



Figure 8. The detected license plate image.

the shadow and get an optimal manifestation. The black top-hat transform of f is given by:

$$BTH(f) = B(f) f$$
 (8)

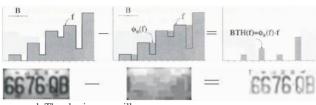
Where B(f) = f B, f is a a grayscale image, is the closing operation and B is a grayscale structuring ele-ment. Black top-hat is a derived application of the clos-ing operation in morphology. It subtracts the result after closing operation from the original picture. The difference is the dark object of the image. Figure 9 shows the calculation process.

It needs a design of a proper structural element in morphology operation. In accordance with the charac- teristics of the LP characters, this structural element should be able to fill the black characters in the LP. Hence the width of the element needs to be larger than the width of the stroke of the character. It is observed that the width of the stroke of the character is no greater than

1/6 of the height of the LP. We design the size of the structural element as 1/6 of the height of the LP. For ex- ample, if the height of the LP is 24 pixels, then the size of the structural element is 4 4 pixels. Using this structural element to perform closing calculation, the gaps between the characters in the LP can be filled. Then we subtract the LP background from the original picture to get a background brightness evenly distributed LP. During the final binary process, the choice of threshold becomes easy. Just take the value close to the brightest grey level in the picture.

#### 3. System Design and Experimentation

While driving on the road, the car in front will not disappear within



a second. The chasing car will no pass

Figure 9. Illustration of black top-hat process. (a) The top left figure is the original picture, (b) The top middle fig- ure is the original picture after the calculation of closing, (c) The top right figure is the dark object after elimination of background shadow, (d) The bottom figures are the corresponding actual cases of the tip figures.

constantly. The LP will stay in front of the on board video camera for a while. According to the camera's pic- ture taking speed, if 10 pictures can be taken in 1 second, and if the car in front stayed in front of the camera for 10 seconds, we will get 100 pictures for processing. In the- ory, it shouldn't be a problem even if the operation of the system is slow. But the condition of the shooting might be raining, cloudy, under the tree shadow of bright days or at night. The vehicle body where the camera is installed might rock due to the road condition during shooting. It is also common to lose focus of the camera. Thus, many of the 100 pictures taken within 10 seconds might be useless due to negative factors. With nonideal pictures, the probability of recognition mistake of LP is large. Sometimes it can't even locate the LP. The objective of proposed system is to overcome those difficulties.

The system is composed of 3 parts introduced in section 2. Figure 10 shows the flowchart of proposed system. In practice, in order not to output the wrong LP, we add a determinant for the image quality. We filter out the fuzzy image according to the above mentioned characteristics. We perform statistics on the information of the wavelet high frequency HH within the vehicle body boundary. Through experimentation, if the ratio of the total sum of HH values to the area of vehicle body is less than 3, then it is a fuzzy image that the LP is visually unrecognizable. Only when the vehicle body is not a fuzzy image, the system will proceed with LP detection and recognition.

While driving on the road, under consideration for safety, a proper distance must be maintained with the car in front. A safety distance of at least 5 meters will usually be maintained by a regular driver in the instance of urban streets with a speed limit of 50 kilometers per hour. Thus it is set that the object for detection is a vehicle 2 10 meters in front. When the resolution of an input image

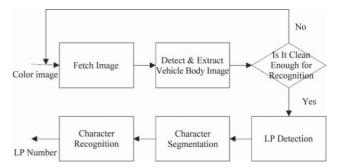


Figure 10. The flowchart of proposed system.

is 320 240 pixels, and the detector needs the height of the the character of the LP to be more than 10 pixels, the focus of the camera lens should be 120 mm, about 2.5 times of the focus of regular camera.

## 3.1 Acquiring of Samples

The video camera in the system is installed under the windshield of the vehicle. It constantly shoots pictures of the vehicle in front on the road. The shooting location of the system is chosen to be the urban streets with a speed limit below 60. The environment includes clear day, rain- ing day, daytime (8:00 18:00), evening (18:00 24:00). The image is captured through the video capture card in the computer. The size of the captured 24-bit color picture is 320 240 pixels. The total number of samples is 257 vehicles within a searching range above 3 seconds.

#### 3.2 The Test of the System Recognition Speed

The CPU of the testing platform has a clock speed of 3.0 GHz, a memory of 512 MB. The resolution of the in- put image is 320 240 pixels. From reading of single image, locating of LP, character segmentation to correct output of the LP number, the average required time is approximately 0.07 seconds.

#### 4. Research Result

In this section, we introduce how the samples were

acquire, display the success rate of this system, and exam the cause of failure of some sample.

#### **4.1 Experiment Result**

We define processing success as being able to output two or more sets correctly. In the acquired 257 sample images, the samples deemed success are 228, and 29 are deemed failure. The rate of successful recognition is

88.71%. Table 1 shows the result.

From Table 2, we see that the recognition success rate in the evening is rather poor. There are two reasons for its very arability for failure:

## 4.1.1 Over exposure and under exposure

If it is over exposed at night, the vehicle body and LP might easily have reflection. If it is under exposed, the image might be dark. It will cause weak contrast between the LP characters and the background. And, this causes the misjudgment of the LP location and the failure of character segmentation.

#### 4.1.2 Fuzziness of input image

When shooting picture at night, due to the inade- quacy of background lights, it is easy to lose focus, caus- ing the blur of the input image. The system will consider the image of the vehicle body to be blurred and to be eliminated. Or the boundary characteristics of the characters and LP background is not intense, the LP region

will be treated as noise and be filtered out.

From Table 3, the recognition success rate is low for the raining day. The reason is that the action of the wind- shield wiper will leave water drop on the windshield. The LP's characters will be fuzzy and cause the failure of character segmentation and recognition.

Table 4 is the experimental result under different car speed. The result shows that the recognition success rate is approximately between 89% and 91% when the car speed is between 0 and 40. When the car speed reaches above

40, the recognition rate will be much lower. This is be- cause the driving safety distance is longer when the car speed is over 40. The target vehicle is harder to get into the detection range of the system. Fathomer, the size of the LP is much smaller in far distance. It might be treated as noise and be filtered out. Or, because the characters in the LP are rather small, the characters can not be clearly segmented

causing the failure of character recognition.

## 4.2 The Successful Cases of the System Testing

The image in Figure 11a contains complicated tex- ture objects like side street trees, overpass, etc. Since the system will first extract the image of the vehicle without background of street, it will be able to effectively filter out noises outside of the vehicle body and to enhance the accuracy of the LP positioning.

The color of the vehicle body and its tail lights are very similar in Figure 11b. Since the research considers color and brightness information, the system can still ef- fectively catch the tail light and accurately extract the tail end image. Moreover, on the shooting environment, the uneven road surface can cause the slight tilting of the vehicle body. The system is able to effectively calibrate the tilting and successfully recognize the license number.

The picture of Figure 12a is taken at an overpass in the evening with driving speed of approximately  $60\,\rm kilo$ 

meters per hour. Because of the further distance of the

detection, the height of the output LP is only 10 pixels. The system can still output a few LP images in a short period of time. It allows the system to carry out multiple recognition process to enhance the recognition rate for the evening driving.

The picture in Figure 12b is taken in raining condition. If the wiper can clear water mark on the windshield and keep the clearness of the LP characters, the system can still have a high recognition rate.

#### 4.3 The Incorrect Cases of the System Tests

The sample in Figure 13a is collected in a very dark condition. Moreover, the target vehicle does not have a LP lighting device. It was illuminated by the head light of the car behind. If the light of head light of the car be-

hind is not bright enough, it will result in weak edge characteristics of the character and LP background. That will cause the LP region to be treated as noise and being filtered out, and effect the positioning of the LP. In Fig- ure 13b, the left side of the vehicle body has a flagrantly contrasting and inseparable reflecting area while the contrast of the LP itself is not strong enough. It causes a misjudgment of the LP region by the system.

#### 5. Conclusion

The purpose of this paper is to establish a low-cost, highly efficient dynamic vehicle LP recognition system. In- stead of fixed point installation, the video camera is in- stalled in a car to capture the LP of a random vehicle in front. Because the car is moving constantly, we cannot use fixed background to filter out non-vehicle area, a real-time vehicle body detection method is used to locate the LP.

The system uses a detection method based on the color of the tail lights of a car to instantly detect the location of the two tail lights. Using the probability dis- tribution of the LP between the two tail lights to set the detection boundary, we can quickly acquire the position of the LP, eliminate the environmental interference that hinders LP detection. Moreover, this system will per- form multiple LP detection during a time period. This will increase the accuracy rate of the LP detection and recognition under inferior environment.

In previous researches, information comes from sta- tic recording of the camera. This system uses camera with low resolution (320 240 24 fps). Even though the condition is poor, it still has an effective detection range of 5 10 meters. Meanwhile, the simplicity of calculation will achieve the effect of real time processing.

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